

JORDAN AND HAMBURG LLP
122 East 42nd Street,
New York, NY 10168
Tel: (212) 986-2340 Fax: (212) 953-7733
Customer No.: 000028107

Docket No.: F-8437
Filing Date:

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THE COMMISSIONER FOR PATENTS
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- ☐ ATTN: BOX PATENT APPLICATION
☐ ATTN: BOX DESIGN PATENT APPLICATION
☒ ATTN: BOX PCT
☐ ATTN: BOX PROVISIONAL PATENT APPLICATION
☒ THIS IS THE 35 U.S.C 371 NATIONAL STAGE OF PCT/JP2002/006251 FILED

June 21, 2002

Sir:







Transmitted herewith for filing is the ☒ Utility ☐ Design ☒ nonprovisional ☐ provisional patent application of:




Inventor / Application Identifier: **Josuke NAKATA**

☒ See Inventor Information Sheet attached





For: **LIGHT RECEIVING OR LIGHT EMITTING DEVICE AND METHOD OF MANUFACTURING THE SAME**

- ☐ This is a new patent application.
- ☒ This is the 35 U.S.C. 371 National Stage Application of the above-identified PCT Application.
- ☐ This is a provisional patent application.
- ☐ This is a: ☐ Continuation Application
☐ Divisional Application
☐ Continuation-in-Part Application
of prior Application Serial No. _.
- ☐ Cancel in this application original claims ___ of the prior application before calculating the filing fee.
- ☐ Amend the specification by inserting before the first line the sentence:
-- This is a ☐ Continuation, ☐ Divisional, ☐ Continuation-in-part, of Application
- ☐ Incorporation By Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

| | | |
|---|-------------------------|--|
| ENCLOSED ARE THE FOLLOWING: | | |
| X | 11 | Sheets of drawings ([X] formal [] informal size A4). |
| X | 31 | Pages of specification including abstract and claims. |
| X | 42 | Total pages. |
| Combined Declaration and Power of Attorney | | |
|  | | Newly executed |
|  | | Copy from prior application |
|  | | Inventors deleted; see attached statement |
| Sequence Listing | | |
|  | | Computer Readable Copy |
|  | | Paper copy |
|  | | Statement verifying identity of above copies |
| X | Return Receipt Postcard | |

| | |
|---|---|
| | Preliminary Amendment |
| | Assignment to: |
|  | Assignment is of record in prior application Serial No. _. |
|  | Assignment Recordation Form Cover Sheet. |
|  | Charge \$40.00 to Deposit Account No. 10-1250 for recording Assignment. |
| | Information Disclosure Statement |
| X | Information Disclosure Citation (Copies of JP 6-013633 and JP 2001-210834 along with English Abstracts are enclosed) |
| X | English translation |
| X | Application Data Sheet |

PRIORITY CLAIMS

| | |
|---|---|
| | Applicant hereby claims the benefit of the filing date of the following provisional application(s) under the provision of 35 USC 119. |
| | Applicant hereby claims the benefit under the provisions of 35 USC 119 of the filing dates of the following applications as indicated below: of which certified copies thereof |
|  | will follow |
|  | are enclosed |
|  | have been filed in the International Bureau |
|  | were filed in prior application: |

| CLAIMS FILED AND FILING FEE CALCULATION | | | | | |
|--|--------------|-------------|------------------------|---------|-------------|
| ITEM | — | | | Rate | Applied Fee |
| [] Base Fee - Non PCT | — | | | \$790 | |
| [] Base Fee - PCT IPEA-US | — | | | \$750 | |
| [] Base Fee - PCT ISA-US | — | | | \$790 | |
| [] Base Fee - PCT not ISA or IPEA | — | | | \$1,110 | |
| [X] Base Fee - PCT with EPO or JPO Search Report | — | | | \$950 | \$950 |
| [] Base Fee - Design | — | | | \$350 | |
| [] Base Fee - Provisional | — | | | \$160 | |
| Claim Fees | Number Filed | Base Number | Number Extra over Base | — | |
| Total Claims | 20 | 20 | 0 | \$18 | \$0 |
| Independent Claims | 2 | 3 | 0 | \$88 | \$0 |
| Multiple Dependent Claim Fee | — | | | \$300 | \$300 |
| [X] Small Entity Status is Asserted | — | | | | (\$625) |
| [] Foreign Language Filing Fee | — | | | \$130 | \$0 |
| TOTAL FILING FEE | | | | | \$625 |

- [X] Please charge Deposit Account No. 10-1250 in the amount of the above TOTAL FILING FEE. A duplicate copy of this sheet is attached.
- [X] Please charge to Deposit Account No. 10-1250 any further fees due for filing or during prosecution of this application under: 37 CFR 1.16; 37 CFR 1.17; and 37 CFR 1.492.
- [X] English translation of specification, claims, and abstract of the International Application.
- [X] Copy of the International Search Report (PCT/ISA/210).
- [X] Copy of International Publication (WO 2004/001858).
- [X] Copy of the PCT/IB/306 form - Notification of the Recording of a Change.
- [X] When all the requirements for a national stage application have been completed, applicant is notified (Form PT/DO/EO/903) of the acceptance of the application under 35 U.S.C. 371, including an itemized list of the items received. The itemized list includes an indication of whether a copy of the international search report and copies of the references cited therein are present in the national stage file. The examiner will consider the documents cited in the international search report, without any further action by the applicant under 37 CFR 1.97 and 1.98, when both the international search report and copies of the documents are indicated to be present in the national stage file. The examiner will note the consideration in the first Office action. There is no requirement that the examiners list the documents on a PTO-892 form. However, in order to have the references printed on the resulting U.S. Patent, a separate listing on Form PTO-1449 must be filed. Such Form PTO-1449 is enclosed. Accordingly, please list these references on the resulting U.S. Patent. See Form Paragraphs 6.53, 6.54, and 6.55 (reproduced in MPEP § 609).

JORDAN AND HAMBURG LLP

By 

Frank J. Jordan
Reg. No. 20,456
Attorney for Applicant

DECLARATION TO FOLLOW

INVENTOR INFORMATION SHEET

Docket Number: F-8437

Title: LIGHT RECEIVING OR LIGHT EMITTING DEVICE AND METHOD OF
MANUFACTURING THE SAME

Filing Date:

| | | | |
|---|------------------------------------|--|--|
| 1. Full Name of Inventor Josuke NAKATA | Family Name NAKATA | First Given Name Josuke | Second Given Name |
| Citizenship Japan | Residence City Kyoto-shi | Residence State or Province Kyoto | Residence Country Japan |
| Postal Address 29-3, Goryoooeyama-cho, 4-chome, Nishikyo-ku | City Kyoto-shi | Province/State Kyoto | Postal Code/Country 610-1102 Japan |